



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATION

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In re application
of

Inventor: Robert M. Ford
Serial No.: 09/~~330,466~~ 330,446
Filed: June 11, 1999
Title: SYSTEM AND METHOD FOR MANAGING TIER-PRICED
COMMODITIES TRANSACTIONS
Art Unit: 2166
Examiner: L. Nguyen

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CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 21st day of June, 2001.

Signature

AMENDMENT AND RESPONSE

In the Claims:

In claim 1, line 9 delete the second occurrence of "commodity", on line 10 delete "lower than said first price and".

REMARKS

This paper is responsive to the Office Action mailed March 13, 2001. Reconsideration of the subject application is respectfully requested based on the above Amendment following Remarks.

Claim 1 has been amended to delete "commodity" to render the claim definite.

Claims 1, 2, 4-6, 9 and 13 are rejected as being anticipated by U.S. Patent No. 6,047,274 (the '274 patent herein). Parenthetically, the undersigned wishes to thank the Examiner for clarifying